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TDANG	SMITTAL E		Application No.	10/814,218		
TRANSMITTAL FORM' (to be used for all correspondence after initial filing)			Filing Date	March 31, 2004		
			First Named Inventor	Randolph L. Campbell		
			Art Unit	2131		
			Examiner Name			
Total Number of F	Pages in This Submission	on 12	Attorney Docket Number	42P17825		
	ENCLOS	SURES (checi	k all that apply)			
Fee Transmittal	Form	Drawing(s)		After Allowance Communication to Group		
Fee Attac	hed	Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Fina	ll /declaration(s)	Petition to C Provisional	Convert a Application	Proprietary Information		
Extension of Tim	` '	Power of Al Change of	ttorney, Revocation Correspondence Address	Status Letter		
Express Abandonment Request		Terminal Di	isclaimer	Other Enclosure(s) (please identify below):		
Information Disc	losure Statement	Request for	Refund	Copies of references cited		
PTO/SB/0		CD, Numbe	r of CD(s)			
Certified Copy of Priority Document(s)						
Response to Missing Parts/ Incomplete Application		Remarks	T			
Basic Filing Fee						
Declaration/POA						
Response Parts und 1.52 or 1.	e to Missing er 37 CFR 53					
	SIGNATURE	OF APPLICAN	T, ATTORNEY, OR AG	ENT		
Firm or	m Eric T. King, Reg. No. 44,188					
Individual name	BLAKELY, SO	OKOLOFF, T	AYLOR & ZAFMA	AN LLP		
Signature	ignature The The The Tensor of					
Date	Date July 23, 2004					
CERTIFICATE OF MAILING/TRANSMISSION						
				ervice on the date shown below with ts, P.O. Box 1450, Alexandria, VA		
	me Nicole Erquia	aga	<del> </del>			

July 23, 2004

Date

Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Jafman (wtr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Wa. 22313-1450

Signature

FEE TRANSMITTAL
for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number 10/814,218

Filing Date March 31, 2004

First Named Inventor Randolph L. Campbell

Examiner Name

Art Unit 2131

TOTAL AMOUNT OF PAYMENT (\$)	A	ttorney	Jocke	t No.	42p17825	
METHOD OF DAVISENT (short stiffed analy)	EEE CALCIII ATION (continued)					
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	[3. A	3. ADDITIONAL FEES				
Deposit Account	Large	Entity	Sma	II Entity	<u>·</u>	
<b> </b>	Fee Code	Fee /t\	Fee Code	Fee		
Deposit Account 02-2666		(\$)	COOR	(\$)	Fee Description	Fee Paid
Number	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	
Deposit Account Blakely Sakaloff Taylor & Zafman IIP		50	2032	25	cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920 *	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *	Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filing fee	,,,,,				Examiner action	1
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional	
	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee	
Ctaims below Fee Paid	1503	640	2503	320	Plant issue fee	
Independent - 20 = X	1460	130	2460	130	Petitions to the Commissioner	
Claims	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Code (5) Code (5)	1809	770	1809		Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
1203 290 2203 145 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
1204 86 2204 43 **Reissue independent claims over original	1801 1802	770	2801		Request for Continued Examination (RCE)	
patent		900	1802		Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fee	(specify)				
SUBTOTAL (2) (\$)	1.1.1					
**or number previously paid, if greater, For Reissues, see below	*Reduced t	by Basic Filing	Fee Paid	i	SUBTOTAL (3) (\$)	
SUBMITTED BY	<u> </u>				Complete (if applicab	

Docket No.: 42P17825

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RANDOLPH L. CAMPBELL, ET AL.

Application No.: 10/814,218

Filed: March 31, 2004

For: **SECURE VIRTUAL MACHINE** 

MONITOR TO TEAR DOWN A SECURE

**EXECUTION ENVIRONMENT** 

Art Group: 2131

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 23, 2004

Erfe T. King, Reg. No. 44,188

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nicole Erquiaga

07-23-04

Date

-2- 42P17825

PTO/SB/08&(10.01)
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US Patient & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/814,218 **Application Number** STATEMENT BY APPLICANT Filing Date March 31, 2004 (Use as many sheets as necessary) **First Named Inventor** Campbell, Randolph **Group Art Unit** 2131 JUL 2 7 2004 **Examiner Name** Unknown A TRADE Attorney Docket No: 42P17825 Sheet 1 of 1

US PATENT DOCUMENTS							
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate	
	US-6,397,242	05/28/2002	Devine, Scott W., et al.	<u> </u>		10/26/1998	
	US-6,496,847	12/17/2002	Bugnion, Edouard , et al.			09/10/1998	
	US-6,704,925	03/09/2004	Bugnion, Edouard			12/01/1998	

-	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*					
		COX, RICHARD, "A Virtual Memory Management Unit for Denali", Intel			
		Research Seattle,(10/16/2002),			
		INTEL, "Twenty-to-One Consolidation on Intel Architecture", (Feb 2002),			
		MUNRO, JAY, "Virtual Machines & VMware, Part I", Copyright (c) Ziff Media			
		Inc.,(2001),			

**EXAMINER**